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Application No. 10/510,119  
Paper Dated January 9, 2006  
Information Disclosure Statement  
Attorney Docket No. 0470-045183

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/510,119 Confirmation No. : 4799  
Applicants : **Linda Diehl et al.**  
Filed : October 4, 2004  
Title : **INDUCTION OF ANTI-TUMOR CTI IMMUNITY THROUGH IN VIVO TRIGGERING OF 4-1BB AND/OR CD40**  
Group Art Unit : Not Yet Assigned  
Examiner : Not Yet Assigned  
Customer No. : 28289

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the requirements of 37 CFR §§ 1.56, 1.97, and 1.98, the Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08A and one copy of the references listed thereon.

This application is the United States national phase of PCT Application No. PCT/NL03/00254. Applicants identified United States Patent No. 4,946,778, and the

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on January 9, 2006

Bruce L. White

(Name of Person Mailing Paper or Fee)

Bruce L. White 01/09/2006  
Signature Date

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International Search Report, dated July 3, 2003, for the underlying PCT Application, identified all other references listed on Form PTO/SB/08A. A copy of the International Search Report is enclosed for the Examiner's convenience.

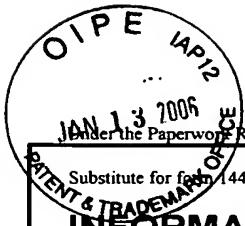
Pursuant to 37 C.F.R. § 1.97(b)3, no fee is believed to be due for the submission of this Information Disclosure Statement, as it is being submitted before the mailing date of a first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

By 

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PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p>	
Sheet	1	of	2	Application Number	10/510,119
				Filing Date	October 4, 2004
				First Named Inventor	Linda Diehl
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	0470-045183

## U.S. PATENT DOCUMENTS

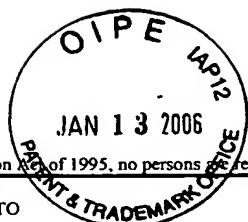
## FOREIGN PATENT DOCUMENTS

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

2

<i>Complete if Known</i>	
Application Number	10/510,119
Filing Date	October 4, 2004
First Named Inventor	Linda Diehl
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	0470-045183

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		MELIEF, C.J. ET AL., "Strategies for Immunotherapy of Cancer", Academic Press Inc., vol. 75, pages 235-282, 2000	
		WILCOX, R.A. ET AL., "Provision of Antigen and CD137 Signaling Breaks Immunological Ignorance, Promoting Regression of Poorly Immunogenic Tumors", Journal of Clinical Investigation, vol. 109, no. 5, pages 651-659, 2002	
		MELERO, I. ET AL, "Monoclonal Antibodies Against the 4-IBB T-cell Activation Molecule Eradicate Established Tumors", Nature Medicine, vol. 3, no. 6, pages 682-685, 1997	

Examiner Signature	Date Considered
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